

## Utility Licence Annual Rep 2022–23

Licence utility:	<b>Lumea Pty Ltd</b>
Utility service:	<b>electricity transmission</b>
Number of parts to report:	<b>3</b>

Reporting period:	<b>1 July 2022 to 30 June 2023</b>
Submission date:	<b>by 1 October 2023</b>
Submit completed report to:	<b>icrc@act.gov.au</b>

### Parts to report

Part A — Utilities Act

[A1 — Performance of network operations \(Division 7.3\)](#)

[A2 — General functions](#)

Part D — Utility licence conditions

[D1 — General conditions](#)

## Providing data and information to the Commission

Please read the **Utility Licence Annual Report Guideline** for a more detailed instructions on providing relevant and quality information to the Commission.

- All responses should only relate to services provided in the ACT. In the event the licensee is unable to disaggregate ACT services from other jurisdictions, the licensee must provide a statement detailing: area that the dataset covers, brief explanation why data cannot be disaggregated, additional information that may assist the Commission in understanding the approximate percentage of services that are provided in the ACT from the dataset.
- All licensed utilities must provide information and data in the report that are within the reporting period. Data must be provided even if a nil (zero) figure is recorded.
- If the licensee is not able to provide the data or answer a question required in the report, the licensee should indicate 'not available' and provide supplementary information detailing why the information is not available and whether (and in what timeframe) it intends to collect this data.

- In most cases a response of 'yes', 'no', 'not applicable' or a figure will suffice. An explanatory statement or supplementary information (e.g. copies of policies or procedures or a link to material on the internet) maybe required.
- Where data is not available the licensee must provide other data that could serve a similar purpose as the data requested (i.e. data that could equally indicate the level of licensee compliance and identify possible causes of non-compliance). Such data should be clearly identified in the report together with an explanation of the alternative taken.
- The licensee should provide commentary where there is a need to explain key factors relevant to the level of, and trends in, their performance. If the licensee response represents a significant variation in the data from the previous reporting period, additional information is to be provided on the cause(s) of the variation. Any supplementary information can be provided in the comments column, or in an attachment. Where applicable, include measures or actions to be put in place to address or rectify the reported variation. All comments must be put in the comment section box.

## Part A — Utilities Act

### A1 — Performance of network operations (Division 7.3)

Ref	Reporting requirements	Response	Additional comments
Our tracking number		<p>Answer n/a if the data requested is not available.</p> <p>Answer "0" if data recorded is nil or zero</p> <p>Data must relate only to the 2022–23 reporting period unless specified otherwise.</p>	Please provide information that you feel is relevant and will assist us in our assessment such as an explanation or a reason/s for significant variances from the previous year.
<b>Damage etc. to be minimised (Section 108)</b>			
A101	Number of complaints received about any inconvenience, detriment or damage to landholders' property resulting from network operations.	0	
A101(a)	Provide details of the type of complaints received and actions taken to address the complaints.	n/a	
<b>Notice to landholders to undertake network operations (Section 109)</b>			
A102	Number of times the licensee failed to give the landholder at least seven days notice of a proposed network operation.	0	
A103	Number of complaints received about carrying out operations in urgent circumstances under section 109(5).	0	
A103(a)	Provide details of the type of complaints received and actions taken to address the complaints.	n/a	
<b>Network operations affecting heritage significance (Section 110A)</b>			

A106	Number of notices given under sections 109 and 110 that may have affected a place or object of heritage significance under section 110A.	0	
A107	Number of notices under section 110A where the licensee failed to provide copies to the heritage council at least seven days before the network operation.	0	
A108	Number of complaints received relating to operations undertaken pursuant to s 110A(2).	0	
A108(a)	Provide details of the type of complaints received and actions taken to address the complaints.	n/a	
<b>Notice to other utilities (Section 111)</b>			
A109	Number of complaints received for failing to give seven days notice to other public utilities before performing network operations on their land that potentially affected network facilities under the care and management of those utilities.	0	
A109(a)	Provide details of the type of complaints received and actions taken to address the complaints.	n/a	
A110	Number of complaints received for carrying out network operations in urgent circumstances under section 111(6).	0	
A110(a)	Provide details of the type of complaints received and actions taken to address the complaints.	n/a	
<b>Removal of utility's property and waste (Section 112)</b>			
A111	Number of network operations where the licensee failed to remove as soon as practicable from the land, for which it was not the landholder, items listed in section 112(1).	0	
A112	number of complaints received for failing to remove as soon as practicable from the land for which it was not the landholder, any items listed in section 112(1).	0	
A112(a)	Provide details of the type of complaints received and actions taken to address the complaints.	n/a	

## Land to be restored (Section 113)

A113	Number of complaints received for failing to ensure, as soon as practicable, that the land was restored to a condition that was similar to its condition before the operations began.	0
A113(a)	Provide details of the type of complaints received and actions taken to address the complaints.	n/a

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**END OF A1**

## Part A — Utilities Act

### A2 — General functions

Ref	Reporting requirements	Response	Additional comments
Our tracking number		<p>Answer n/a if the data requested is not available. Answer "0" if data recorded is nil or zero</p> <p>Data must relate only to the 2022–23 reporting period unless specified otherwise.</p>	<p>Please provide information that you feel is relevant to support us in our assessment such as reason/s for non-compliance and actions taken to rectify the non-compliance and minimise future occurrences.</p> <p>When applicable, please also provide an explanation or a reason/s for significant variances from the previous year.</p>
<b>Authorised persons (Division 7.4)</b>			
A201	Were all persons authorised under section 114 (Authorised Persons) issues with photographic identity cards?	Yes	
A202	Are authorised persons made aware of their obligations and entry restrictions under the Utilities Act?	Yes	
A202(b)	Provide details of any induction or special training to authorised persons to educate them about their obligations and entry restrictions under the Utilities Act. Please include whether the training is provided on a regular or ad hoc basis.	Utilities Act obligations are entered in TransGrid/Lumea compliance management system and responsibility allocated to the relevant manager	

**END OF A2**

## Part D — Utility licence conditions

### D1 — General conditions

Ref	Reporting requirements	Response	Additional comments
Our tracking number		<p>Answer n/a if the data requested is not available.</p> <p>Answer "0" if data recorded is nil or zero.</p> <p>Data must relate only to the 2022–23 reporting period unless specified otherwise.</p>	
<b>Licensee to notify ICRC of any material breaches (Clause 8.2)</b>			
D101	Number of material breaches of the licensee's licence or any applicable law, code of practice, directions and guidelines.	0	
D101(a)	Please provide the dates for each material breach and type of breach	n/a	
D101(b)	Was the Commission notified of the breaches? NB - Immediate reporting applies to material breaches, please see the ICRC Material Breach Guideline 2021.	n/a	
<b>Licensee to provide statement on any non-compliance (Clause 8.3)</b>			
D102	Number of non-compliances with any of the licensee's obligations under clause 6.2 of its licence to comply with the Utilities Act, relevant Industry Codes, relevant Technical Codes, any directions given by the ICRC or any applicable ring-fencing requirements	0	
D102(a)	Provide details of each non-compliance, including actions taken to rectify or minimise the effect of the non-compliance.	n/a	
D102(b)	Was the Commission notified of the non-compliances?	n/a	
<b>Availability of Utility Licence Annual Report (Clause 8.5)</b>			

D103	Please confirm that a summary of the 2022–23 ULAR will be published before 30 November this year	The ULAR will be published on the Lumea website before the end of November.	See Media publication details via the link <a href="https://www.lumea.com.au/media-publications/corporate-reports">https://www.lumea.com.au/media-publications/corporate-reports</a>
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### Operation and compliance audits (Clause 8.6)

D104	When was the last time the licensee reviewed its data collection and reporting process?	During FY23 AMS Second Line Assurance Audit was completed in October 2022 and an ISO 55001 Surveillance Audit was completed in November 2022. Data collection and reporting process are reviewed in these audits.	Note that Transgrid operates all assets under the Lumea ACT Utilities licence through a formal operating agreement between the two entities. The data collection and reporting processes are carried out and assured through Transgrids Asset Management System and Electricity Network Safety Management System on behalf of Lumea.
D105	How often does the licensee audits or review its data collection and reporting process?	Transgrid creates and executes a triennial audit plan covering the Asset Management System, Electrical Network System Management System and Electricity Safety Management Scheme over the three year period. Data collection and reporting processes are in the scope of these audits.	

### Technical and prudential criteria (Clause 9)

[Click here for a copy of the Commission's Technical and prudential criteria guideline](#)



D106	Please provide a summary of details of the licensee's financial and technical capacity for 2022–23 which show it can continue to provide the services authorised in the licence.	<p>There are no financial capacity concerns that would place Lumea’s capacity to meet licence obligations at risk.</p> <p>Lumea's financial statements for the 2022/23 financial year have been externally audited and no issues were raised that materially impact Lumea's financial capacity.</p>	
<b>Charge and assignment (Clause 11)</b>			
D107	Were there any significant transfers in shareholdings (involving more than 50% of the shares) or changes in ownership in 2022–23?	No	
D107 (a)	If yes, please provide details.	n/a	
<b>Record keeping (Clause 14)</b>			
D108	Has the licensee kept or caused to be kept, comprehensive records in accordance with Commission's requirements under the Utilities Act?	Yes	

**END OF D1**

## Authorising and contact officers

### Authorising officer

The licensee's officer authorising the release of this information is

Name	<b>Andrew McAlpine</b>
Title/position in organisation	Head of Compliance
Postal address	PO Box A1000 Sydney South, NSW, 1235
Telephone	02 9620 0478
Email	andrew.mcalpine@transgrid.com.au

### Contact officer

The licensee's contact officer for regulatory and compliance matters is

Name	<b>Andrew McAlpine</b>
Title/position in organisation	Head of Compliance
Postal address	PO Box A1000 Sydney South, NSW, 1235
Telephone	02 9620 0478
Email	andrew.mcalpine@transgrid.com.au